



NONCONFORMITY REPORT

Control No. : ODPP-NC-21-01	Audit Date : September 27- October 18, 2021	Area/ Activity : GISSU, CASL, Grants Development Office, UIIC, CAC, GSO, ODPP, RDE Network Secretariat, CFES, ODAS, NSTP, Dept. of Statistics, NCRC-V, OSHEA
Auditor(s) : MCMagdadaro Jr., EGCagasan, MEMUmpad, MGBurlas, JGFJansalin, SBLina, LOMoreno, RBArmecin, RCGuinocor, DPPeque	Auditee(s) : Unit Heads and dDRCs	
Nonconformance Category : <input type="checkbox"/> Major <input checked="" type="checkbox"/> Minor	Audit Type: <input checked="" type="checkbox"/> Internal <input type="checkbox"/> External	

NONCONFORMITY STATEMENT

Description of Nonconformity:

The organization fails to monitor and review the internal and external issues (4.1), needs and expectations of interested parties (4.2), risks and opportunities (6.1), and establish its own quality objectives, targets/OTPs relevant to its functions (6.2)

Relevant Evidences:

1. Copy of the 2021 ROAM, SWOT and OTP of OVPREI are available, however, the unit did not establish its own OTPs relevant to its functions (GISSU)
2. No 2021 OPCR/IPCR was prepared for the unit, the unit head files his IPCR at FARMI, being his mother unit (GISSU)
3. The lab has no OTP for 2021. There is no record of monitoring and reporting of lab OTPs; the current lab head has no IPCR on file, it could not be determined if her IPCR includes her responsibilities at CASL (CASL)
4. The office has not formulated its own quality objectives, as well as its plans and targets. It has no OTP for 2021, OPCR target for 2021, and OPCR with accomplishments for January to June 2021 (GDO)
5. The office has not identified the risk and opportunities for the unit especially during this pandemic. The office has no copy of its ROAM and SWOT for 2021 (GDO)
6. The unit has no ROAM, OTP and NEIP. The auditee was not able to prepare and submit said documents to the Planning Office (UIIC)
7. The unit does not have SWOT, ROAM and OTP (CAC)
8. The unit has no copy of approved SWOT, ROAM and OTP. The unit fails to make SWOT, ROAM and OTP for 2021 but plans to hold a meeting to make the documents (GSO)
9. The unit has not prepared a set of OTPs relevant to its level in the organization. No monitoring of OTPs was done. Many items in the unit's OPCR were not aligned to the VP-level OTP's (ODPP)
10. No copy of approved OPCR (targets and accomplishments) Jan-Dec 2021 on file specific to the unit, what is available is OVPREI's OPCR. Copy of approved IPCR targets (Jan-Dec 2021) and accomplishments (Jan-June 2021) on file is ViCARP consortium staff and VP for REI (RDE Network Secretariat)
11. The College submitted their OPCR (Jan-June 2021 accomplishment) using the "unsigned/not approved" consolidated outputs (OPCR accomplishment) from the units. There were no IPCR documents (accomplishment for Jan -June 2021) from ITEEM and DFS received by the Dean's Office as of the time of